

JCO6 Rec'd PCT/PTO 10 AUG 2005

**PATENT
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In re patent
Appln of: Laurence PARIS

Serial No.: 10/539,100

Filing Date: June 15, 2005

Based on: PCT/FR03/003740

International
Filing Date: December 16, 2003

Title: **USE OF THICKENING AGENTS
FOR PRODUCING SOFT
CAPSULES AND FILM
PRODUCTION METHOD**

Att'y. Dkt. No.: 222-05

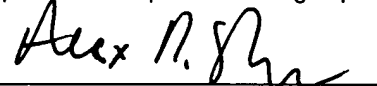
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Date of Deposit: August 8, 2005

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Alex R. Sluzas
(type or print name of person making deposit)



Alex R. Sluzas, Esq., Reg. No. 28,669
Date of Deposit: August 8, 2005

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P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Please find enclosed the following documents for filing in the U.S. Patent and
Trademark Office pursuant to 37 U.S.C. 371:

1. This Transmittal Letter, in duplicate;
2. Transmittal Letter to the United States Designated/Elected Office
(DO/EO/US) Concerning a Filing under 37 U.S.C. 371, PTO Form 1390
(in duplicate);
3. Executed Declaration and Power of Attorney for Patent Application;
4. Check in the amount of \$65 for 37 C.F.R. 1.492(e); and
5. An acknowledgement post card to be date-stamped and returned
to Paul & Paul.

08/12/2005 GFREY1 00000136 10539100

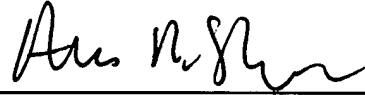
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August 8, 2005
Page 2

No additional fee is believed to be required. In the event, the Commissioner is hereby authorized to charge any additional fees associated with this communication, or credit any overpayment, to Paul & Paul Deposit Account No. 16-0750, Order No. 3378.

Respectfully submitted,



Alex R. Sluzas
Registration No. 28,669
Paul & Paul
Two Thousand Market Street
Suite 2900
Philadelphia, PA 19103
(215) 568-4900

August 8, 2005

Order No. 3378

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

222-05

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/539,100

INTERNATIONAL APPLICATION NO.

PCT/FR03/003740

INTERNATIONAL FILING DATE

16 December 2003

PRIORITY DATE CLAIMED

16 December 2002

TITLE OF INVENTION

USE OF THICKENING AGENTS FOR PRODUCING SOFT CAPSULES AND FILM PRODUCTION METHOD

APPLICANT(S) FOR DO/EO/US

Laurence PARIS

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☒ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☐ Express Mail Label No.
23. ☐ Other items or information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | | | | |
|--|--------------|--|------------|--------------------------|----------|--|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5) | | INTERNATIONAL APPLICATION NO. | | ATTORNEY'S DOCKET NUMBER | | |
| 10/539,100 | | PCT/FR03/003740 | | 222-05 | | |
| The following fees are submitted: | | | | CALCULATIONS | PTO USE | |
| 24. <input type="checkbox"/> Basic national fee | | | | \$300 | \$ 0.00 | |
| 25. <input type="checkbox"/> Examination fee | | | | | | |
| If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$100 | \$ 0.00 | |
| All other situations | | | | \$200 | | |
| 26. <input type="checkbox"/> Search fee | | | | | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | \$100 | | |
| International Search Report prepared and provided to the Office | | | | \$400 | | |
| All other situations | | | | \$500 | \$ 0.00 | |
| TOTAL OF 24, 25 and 26 = | | | | \$ | \$ 0.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | | RATE | | |
| - 100 = | 0 /50 = | 0 | | x \$250.00 | \$ 0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | | |
| Total claims | - 20 = | 0 | x \$50.00 | \$ | \$ 0.00 | |
| Independent claims | - 3 = | 0 | x \$200.00 | \$ | \$ 0.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | | | \$ | \$ 0.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | \$130.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ | \$65.00 | |
| SUBTOTAL = | | | | \$ | \$65.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | \$ 0.00 | |
| TOTAL NATIONAL FEE = | | | | \$ | \$65.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + | | | | \$ | \$ 0.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ | \$65.00 | |
| | | | | Amount to be | \$ | |
| | | | | Amount to be | \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>65.00</u> to cover the above fees is enclosed. | | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-0750</u> . A duplicate copy of this sheet is enclosed. | | | | | | |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | |
| Alex R. Sluzas, Reg. No. 28,669 | | | | | | |
| Paul & Paul | | | | | | |
| Two Thousand Market Street | | | | | | |
| Suite 2900 | | | | | | |
| Philadelphia, PA 19103 | | | | | | |
| (215) 568-4900 | | | | | | |
| | | | | SIGNATURE | | |
| | | | | Alex R. Sluzas | | |
| | | | | NAME | | |
| | | | | 28,669 | | |
| | | | | REGISTRATION NUMBER | | |